

FINANCIAL STATUS

- Please provide current values for the financial products that comprise your household net worth. Primary residence, automobiles and personal property cannot be included as part of your financial information including net worth and liquid assets. We understand that some values may be approximated, but please make sure all financials add up correctly. Math errors or inconsistencies may delay processing.
- When completing the Total Household Assets section below, **please include the proposed annuity premium(s) based on where the funds are currently held.**

TOTAL HOUSEHOLD ASSETS

- Sections of Table K below are provided for your convenience to assist in determining the total household assets and is optional. However, **ALL HIGHLIGHTED QUESTIONS ARE REQUIRED** and will result in delays in processing if not completed. **ALL NON-HIGHLIGHTED QUESTIONS ARE OPTIONAL** AND WILL NOT BE REVIEWED AS PART OF THE SUITABILITY ASSESSMENT.
- For any qualified assets, including Individual Retirement Accounts (IRA), qualified plans and pension funds, only include as liquid assets if the client is age 59.5 or above AND separated from service. Do not include if under age 59.5.
- **Please include ALL annuities currently HELD AT ATHENE and ALL annuities held at other companies. Annuity assets include both personal annuities and retirement annuities.**
- **Premium for this Policy \$_____ .00**

K. HOUSEHOLD ASSETS - THE HIGHLIGHTED AREAS BELOW ARE REQUIRED AND MUST BE COMPLETED <i>For required values, DO NOT leave any blanks. If not applicable, must list 0, - or N/A.</i>			
Liquid Assets		Non-Liquid Assets	
Checking/Savings	\$ 80000	Cash Value of Life Insurance	\$ 80000
Money Market	\$ 580000	IRA/Qualified Plan/Pension (<i>include B share</i>)	\$
Certificates of Deposit	\$ 370000	Real Estate Equity (<i>exclude primary residence/home</i>)	\$ 80000
Mutual Funds/Brokerage Accounts (<i>exclude B shares</i>)	\$	Other	\$ 15000
Stocks/Bonds	\$	Other	\$
IRA/Qualified Plan/Pension	\$ 1600000	Other	\$
Other	\$	Other	\$
Annuities out of surrender term (<i>if age 59.5 or above</i>) <i>If not applicable, must list 0, - or N/A</i>	\$ 211000.00	Annuities out of surrender term (<i>if under age 59.5</i>) <i>If not applicable, must list 0, - or N/A</i>	\$ _____ .00
		Annuities in surrender term (<i>for all ages</i>) <i>If not applicable, must list 0, - or N/A</i>	\$ _____ .00
Total Liquid Assets	\$ 80000	Total Non-Liquid Assets	\$ 87000

		TOTAL
L. Total Household Assets	(Liquid + Non-Liquid Assets)	\$ 80000
M. Total Household Liabilities & Debt (<i>excluding mortgage on primary residence and/or automobile debt</i>) <i>If not applicable, must list 0, - or N/A.</i>		\$ 0
N. Total Household Net Worth	(Total of L minus M)	\$ 3000000
O. Total Household Liquid Assets AFTER Annuity Purchase - <i>Athene requires at least 3 months of liquid assets to cover expenses if under the age of 59½ and 6 months of expenses if over the age 59½. For replacements, Question O + Total Household Premium and/or Question O + Annuities in Surrender Term cannot be greater than Question L. For non-replacements, Annuities in Surrender Term + Total Household Premium + Question O cannot be greater than Question L.</i>		\$ 3000000
P. Total Monthly Household Income - (<i>Ex: Salary, social security payments, pension/retirement benefits, investment and rental income, etc.</i>). <i>Do not include income from unemployment benefits, severance, short-term disability and/or income currently earned on the funds being used to purchase this annuity.</i>		\$ 15000
Q. Total Monthly Household Expenses (<i>Ex: Housing, transportation, insurance, food, health care, property taxes and cell phone, etc.</i>)		\$ 5000
R. Do you or your spouse/domestic partner have existing Athene annuities?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
S. If question R is "yes", please list contract number(s)		
T. If question R is "yes" and your Spouse/Domestic Partner is the owner, please provide the following information.		
Spouse/Domestic Partner Name Lauren Roth	Spouse/Domestic Partner Last 4 of SSN 6200	

